



Financial Statement – Auditor’s Report Form 4

NIAG. FALLS CLERKS \*11 0323 1203 Municipal Elections Act, 1996 (Section 78)

Instructions

All candidates must complete Boxes A, B, C, D, E and F and Schedule 1. All candidates must complete Schedules 2, 3 and 4 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor’s Report.

All surplus funds (after any refund to the candidate or his or her spouse) shall be paid immediately over to the clerk who was responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination) 2010 09 07 to 2010 12 31

- Primary filing reflecting finances to December 31 (or 45th day after voting day in a by-election)
Supplementary filing including finances after December 31 (or 45th day after voting day in a by-election)

Box A: Name of Candidate and Office

Name of Candidate: Last Name (Feren), First Name (Ken), Middle Initial (W)
Mailing Address: Suite/Unit No., Street No. (7430), Street Name (Bambi cres)
City/Town (Niagara Falls), Province (Ontario), Postal Code (L2H 2K3)
Telephone No. (incl. area code) Business (905-374-2750), Home (905-354-8162), Fax No. (905-374-3741)
Email Address (feren.signs@cogecco.ca)
Name of office for which the candidate sought election (City Councillor), Ward Name or No. (if any) (N/A)
Name of Municipality (Niagara Falls)

Box B: Summary of Campaign Income and Expenses

Table with 2 columns: Description and Amount. Rows include spending limit (\$58,446.30), surplus/deficit (NIL), total contributions received (\$9,157.68), total campaign expenses subject to limit (\$9,157.68), total campaign expenses not subject to limit (NIL), total of all campaign expenses (\$9,157.68), election campaign surplus/deficit (NIL), contributions refunded (NIL), and amount paid to clerk (NIL).

**Box C: Statement of Campaign Period Income and Expenses**

From YYYY MM DD To YYYY MM DD For Candidate

**INCOME**

Candidate's surplus from immediately preceding election released by the clerk	+	\$
Contributions from candidate	+	\$ 1736.68
Contributions from spouse of candidate	+	\$
All other contributions	+	\$ 7521.00
Revenue from fund-raising functions not deemed a contribution (from Schedule 2, Part III)	+	\$
Interest income	+	\$
Other (provide full details)		
1.	+	\$
2.	+	\$
3.	+	\$

**Total Campaign Period Income** = \$ 9257.68 C1

**EXPENSES (Note: include the value of contributions of goods and services)**

**Expenses Subject to Spending Limit**

Advertising	+	\$ 8238.28
Bank charges	+	\$ 38.00
Brochures	+	\$ 288.15
Interest on loan	+	\$
Inventory contributed to candidate's campaign (Schedule 3)	+	\$
Meetings hosted	+	\$
Nomination filing fee	+	\$ 100.00
Office expenses	+	\$
Phone and/or Internet	+	\$
Salaries and benefits/honoraria/professional fees	+	\$
Signs	+	\$ 593.25
Other (provide full details)		
1.	+	\$
2.	+	\$
3.	+	\$
<b>Subtotal</b>	=	\$

C2

**Expenses Not Subject to Spending Limit**

Accounting and audit	+	\$
Costs of fund-raising function (from Schedule 2, Part IV)	+	\$
Expenses related to compliance audit	+	\$
Expenses related to controverted elections	+	\$
Expenses related to recounts	+	\$
Voting day party / appreciation notices	+	\$
Expenses related to candidate's disability (provide details)		
1.	+	\$
2.	+	\$
3.	+	\$
Other (provide full details)		
1.	+	\$
2.	+	\$
3.	+	\$
<b>Subtotal</b>	=	\$

C3

**Total Campaign Period Expenses (C2) + (C3)** = \$ 9257.68 C4

**Excess (Deficiency) of Income over Expenses (C1) - (C4)** = \$

**Box D: Statement of Assets and Liabilities as at** N/A, 20

**Assets**

Cash - - - - -	+	\$	
Accounts receivable - - - - -	+	\$	
Value of inventory retained (from Schedule 4) - - - - -	+	\$	
Other (provide full details)			
1. _____	+	\$	
2. _____	+	\$	
3. _____	+	\$	
<b>Total Assets</b> - - - - -	=	\$	

**Liabilities and Excess (Deficiency) of Income over Expenses**

Accounts payable - - - - -	+	\$	
Borrowings, overdraft - - - - -	+	\$	
Other (provide full details)			
1. _____	+	\$	
2. _____	+	\$	
3. _____	+	\$	
<b>Total Liabilities</b> - - - - -	=	\$	

**Box E: Statement of Determination of Surplus or Deficit and Disposition of Surplus**

**Part I – Determination of Surplus or Deficit**

Amount of excess (deficiency) of income over expenses (from Box C) - - - - -	+	\$	<u>NIL</u>	E1
Deduct: Any deficit carried forward by the candidate from immediately preceding election if the offices are with respect to the same jurisdiction - - - - -	-	\$		E2
Surplus (or deficit) for the campaign period (E1) – (E2) - - - - -	=	\$		
Deduct: Any refund of contributions to the candidate or spouse (only if there is a surplus) - - - - -	-	\$		
<b>Total Determination</b> - - - - -	=	\$	<u>NIL</u>	E3

**Part II – Disposition of Surplus**

If line E3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who was responsible for the conduct of the election.

Surplus paid to the municipal clerk of the municipality of \_\_\_\_\_

**Box F: Declaration**

I, Ken Feren, a candidate in the municipality of Niagara Falls, Ontario, hereby declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.

Declared before (clerk or commissioner)  
in the CITY OF NIAGARA FALLS  
on (yyyy/mm/dd) 2011 March 12<sup>th</sup>

[Signature]  
Signature of Clerk or Commissioner

[Signature]  
Signature of Candidate

2011/03/25  
Date Filed in the Clerk's Office (yyyy/mm/dd)

WILLIAM GLEN MATSON, a Commissioner, etc.,  
Deputy Clerk, for the City of Niagara Falls,  
Regional Municipality of Niagara,  
Province of Ontario



**Table 2: Monetary contributions from unions or corporations**

N/A

Name (Legal and Carrying on Business As)	Address	President or Business Manager	Cheque Signatory	Amount
				\$
				\$
				\$
				\$
				\$
				\$
				\$
				\$
				\$
				\$

Additional information is listed on separate supplementary attachment

**Total** \$

**Table 3: Contributions in goods or services (Note: must also be reported as expenses in Box C)** N/A

Name	Address	Goods or Services	Amount
			\$
			\$
			\$
			\$
			\$
			\$
			\$
			\$
			\$
			\$

Additional information is listed on separate supplementary attachment

**Total** \$

**Total Part II Contributions**

\$

**Schedule 2 – Fund-Raising Function**

Additional schedule for each event or activity held is/are listed on separate supplementary attachment(s) N/A

Date YYYY	MM	DD	Description of event or activity
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Admission charge (per person)\* (may not exceed individual contribution limit) ----- \$  2A

\*If admission charge per person is not consistent, attach complete breakdown of all ticket sales.

Number of tickets sold -----  2B

**Part I – Ticket Revenue**

Lines: (2A) x (2B) (include in Schedule 1) ----- = \$

**Part II – Other Revenue Deemed A Contribution**

Provide full details (e.g., revenue from goods sold in excess of fair market value)

1.		-	-	-	+	\$					
2.		-	-	-	+	\$					
3.		-	-	-	+	\$					
4.		-	-	-	+	\$					
5.		-	-	-	+	\$					
6.		-	-	-	+	\$					
7.		-	-	-	+	\$					
8.		-	-	-	+	\$					
<b>Total Part II Revenue (include in Schedule 1)</b>						-	-	-	+	\$	

**Part III – Other Revenue Not Deemed A Contribution**

Provide full details (e.g., contributions of \$10 or less; revenue from refreshment sold at cost)

1.		-	-	-	+	\$					
2.		-	-	-	+	\$					
3.		-	-	-	+	\$					
4.		-	-	-	+	\$					
5.		-	-	-	+	\$					
6.		-	-	-	+	\$					
7.		-	-	-	+	\$					
8.		-	-	-	+	\$					
<b>Total Part III Revenue (include in Box C)</b>						-	-	-	+	\$	

**Part IV – Expenses Related to Fund-Raising Function**

Venue		-	-	-	+	\$	
Event advertising		-	-	-	+	\$	
Food and drink		-	-	-	+	\$	
Entertainment		-	-	-	+	\$	
Other (provide full details)		-	-	-	+	\$	

1.		-	-	-	+	\$					
2.		-	-	-	+	\$					
3.		-	-	-	+	\$					
4.		-	-	-	+	\$					
5.		-	-	-	+	\$					
6.		-	-	-	+	\$					
7.		-	-	-	+	\$					
8.		-	-	-	+	\$					
<b>Total Part IV Expenses (include in Box C)</b>						-	-	-	+	\$	

**Schedule 3 – Inventory of Campaign Goods and Materials (from Previous Campaign)  
Used in Candidate's Campaign**

N/A

Description	Date Acquired (yyyy/mm/dd)	Supplier	Unit Value	Quantity	Total Value
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
<b>Total Value of Inventory from Previous Campaign Used in Candidate's Campaign</b>					<b>\$</b>

**Schedule 4 – Inventory of Campaign Goods and Materials at The End of Campaign**

Description	Date Acquired (yyyy/mm/dd)	Supplier	Unit Value	Quantity	Total Value
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
<b>Total Value of Inventory of Campaign Goods and Materials</b>					<b>\$</b>

**Auditor's Report***Municipal Elections Act, 1996 (Section 78)*

N/A

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report. The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

Professional Designation of Auditor

Municipality		Date (yyyy/mm/dd)	
Contact Person Last Name		First Name	Licence No.
Address			
Suite/Unit No.	Street No.	Street Name	
City/Town		Province	Postal Code
Telephone No. (incl. area code) ext.		Fax No.	Email Address

DONATIONS GIVEN

MRS. S GOLIAN ST. CATHARINES,ONT	\$ 100.00
DOUBLE TREE 6039 FALLSVIEW BLVD. NF L2G3V6	250.00
MR. S PATTON 4967 CLIFTON HILL NF L2G3N5	200.00
PINEWOOD HOMES 2125 FRUITBELT PK NF L2E6S4	200.00
BROCK FORD 4500 DRUMMOND RD NF L2E6C7	200.00
PROVINCIAL CONSTRUCTIONS 4382 MONTROSE RD NF	100.00
MR. H MUELLER 4820 ONTARIO ST ANCASTER ,ONT L9G3E1	500.00
ALFIDOME 1701 THOROLD TOWNLINE RD NF L2E6S5	750.00
COPACABANA STEAKHOUSE 6671 FALLSVIEW BLVD. NF L2G7G1	200.00
BRAVO 5438 FERRY ST NF	300.00
WELLNESS INST 2- 6746 MORRISON ST NF L2E6Z8	200.00
REMAX 5627 MAIN ST NF L2G5Z3	200.00
MR. B SINCLAIR 6617 DRUMMOND RD NF L2G4N4	500.00
BOATHOUSE 3807 MACKLEN ST NF L2G6C8	100.00
JOHNNY ROCCO 6889 LUNDY LANE NF L2G1V7	250.00
MAMA MIA 5719 VICTORIA AVE L2G3L5	200.00
MATHEWS CAMERON 5233 STANLEY AVE NF L2E7C2	150.00
FLYING SAUCER 6768 LUNDY LANE NF L2G1V5	500.00
MR. & MRS H DECENZO 6768 LUNDYS LANE NF L2G1V5	500.00
MR. B HOWE 6974 LUNDYS LANE NF L2G1V9	150.00
SECRET GARDENS 5827 RIVER RD NF L2G3K9	200.00
MR. R MILJUS 2642 OLDEN AVE NF L2J3Z1	100.00
CARPACCIO 6480 LUNDYS LANE NF L2G1V6	200.00
DARCY WELLAND 1239 ST CLAIR AVE W TORONTO,ONT M6E1B5	500.00
MR. A FILER 4300 DRUMMOND RD NF L2E6C3	200.00
SKYLON 5200 ROBINSON ST NF L2G2A3	250.00

