

NIAG. FALLS CLERKS *11 0325 1055

Instructions

All candidates must complete Boxes A, B, C, D, E and F and Schedule 1. All candidates must complete Schedules 2, 3 and 4 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor’s Report.

All surplus funds (after any refund to the candidate or his or her spouse) shall be paid immediately over to the clerk who was responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination)	YYYY	MM	DD	to	YYYY	MM	DD
	2010	08	06		2010	12	31

- Primary filing reflecting finances to December 31 (or 45th day after voting day in a by-election)
- Supplementary filing including finances after December 31 (or 45th day after voting day in a by-election)

Box A: Name of Candidate and Office

Name of Candidate		
Last Name	First Name	Middle Initial
GATES	WAYNE	J
Mailing Address		
Suite/Unit No.	Street No.	Street Name
	7746	PREAKNESS STREET
City/Town		Province
NIAGARA FALLS		ON
		Postal Code
		L2H 2Y4
Telephone No. (incl. area code)		Fax No.
Business	Home	
(905) 328-9532	(905) 371-2391	(905) 682-9393
Email Address		
wgates@caw199.com		
Name of office for which the candidate sought election		Ward Name or No. (if any)
CITY COUNCILLOR		
Name of Municipality		
NIAGARA FALLS		

Box B: Summary of Campaign Income and Expenses

1. My spending limit (as issued by clerk) was - - - - -	\$	58,446.30
2. Surplus (or deficit) from previous election - - - - -	\$	0.00
3. Total contributions received (from Schedule 1) - - - - -	\$	24,046.00
4. My total campaign expenses that were subject to the spending limit were (from Box C) - - -	\$	24,530.86
5. My total campaign expenses that were not subject to the spending limit were (from Box C) - -	\$	621.50
6. Total of all campaign expenses (from Box C) - - - - -	\$	25,152.36
7. Election campaign surplus/deficit from current election (from Box E) - - - - -	\$	(1,106.36)
8. Contributions refunded to candidate or spouse (from Box E) - - - - -	\$	0.00
9. Amount paid to clerk (from Box E) - - - - -	\$	0.00

Box C: Statement of Campaign Period Income and Expenses

From YYYY 2010	MM 08	DD 06	To YYYY 2010	MM 12	DD 31	For Candidate WAYNE GATES
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INCOME

Candidate's surplus from immediately preceding election released by the clerk	+	\$	0.00
Contributions from candidate	+	\$	0.00
Contributions from spouse of candidate	+	\$	750.00
All other contributions	+	\$	23,296.00
Revenue from fund-raising functions not deemed a contribution (from Schedule 2, Part III)	+	\$	0.00
Interest income	+	\$	0.00
Other (provide full details)			
1.	+	\$	
2.	+	\$	
3.	+	\$	

Total Campaign Period Income = **\$ 24,046.00** C1

EXPENSES (Note: include the value of contributions of goods and services)

Expenses Subject to Spending Limit			
Advertising	+	\$	9,339.82
Bank charges	+	\$	13.00
Brochures	+	\$	3,947.09
Interest on loan	+	\$	0.00
Inventory contributed to candidate's campaign (Schedule 3)	+	\$	0.00
Meetings hosted	+	\$	653.63
Nomination filing fee	+	\$	100.00
Office expenses	+	\$	21.38
Phone and/or Internet	+	\$	0.00
Salaries and benefits/honoraria/professional fees	+	\$	0.00
Signs	+	\$	5,757.36
Other (provide full details)			
1. Voice Broadcasting	+	\$	2,080.00
2. Wooden stakes	+	\$	1,800.00
3. End of campaign party	+	\$	818.58
Subtotal	=	\$	24,530.86

C2

Expenses Not Subject to Spending Limit			
Accounting and audit	+	\$	621.50
Costs of fund-raising function (from Schedule 2, Part IV)	+	\$	
Expenses related to compliance audit	+	\$	
Expenses related to controverted elections	+	\$	
Expenses related to recounts	+	\$	
Voting day party / appreciation notices	+	\$	
Expenses related to candidate's disability (provide details)			
1.	+	\$	
2.	+	\$	
3.	+	\$	
Other (provide full details)			
1.	+	\$	
2.	+	\$	
3.	+	\$	
Subtotal	=	\$	621.50

C3

Total Campaign Period Expenses (C2) + (C3) = **\$ 25,152.36** C4

Excess (Deficiency) of Income over Expenses (C1) - (C4) = **\$ (1,106.36)**

Box D: Statement of Assets and Liabilities as at December 31 , 2010

Assets

Cash - - - - -	+	\$	4,021.45	
Accounts receivable - - - - -	+	\$	0.00	
Value of inventory retained (from Schedule 4) - - - - -	+	\$	6,885.50	
Other (provide full details)				
1. First Ontario Credit Union Membership Shares	+	\$	25.00	
2.	+	\$		
3.	+	\$		
Total Assets - - - - -	=	\$	10,931.95	

Liabilities and Excess (Deficiency) of Income over Expenses

Accounts payable - - - - -	+	\$	5,152.81	
Borrowings, overdraft - - - - -	+	\$	0.00	
Other (provide full details)				
1. Excess of Income over Expenses	+	\$	(1,106.36)	
2.	+	\$	0.00	
3.	+	\$		
Total Liabilities - - - - -	=	\$	5,152.81	

Box E: Statement of Determination of Surplus or Deficit and Disposition of Surplus

Part I – Determination of Surplus or Deficit

Amount of excess (deficiency) of income over expenses (from Box C) - - - - -	+	\$	(1,106.36)	E1
Deduct: Any deficit carried forward by the candidate from immediately preceding election if the offices are with respect to the same jurisdiction - - - - -	-	\$	0.00	E2
Surplus (or deficit) for the campaign period (E1) – (E2) - - - - -	=	\$	(1,106.36)	
Deduct: Any refund of contributions to the candidate or spouse (only if there is a surplus) - - - - -	-	\$	0.00	
Total Determination - - - - -	=	\$	(1,106.36)	E3

Part II – Disposition of Surplus

If line **E3** shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who was responsible for the conduct of the election.

Surplus paid to the municipal clerk of the municipality of 0.00

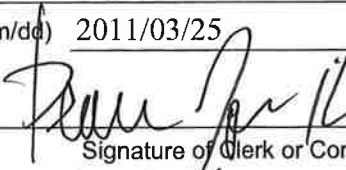
Box F: Declaration

I, Wayne Gates, a candidate in the municipality of Niagara Falls, hereby declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.

Declared before (clerk or commissioner)

in the City of Niagara

on (yyyy/mm/dd) 2011/03/25


 Signature of Clerk or Commissioner
 Date Filed in the Clerk's Office (yyyy/mm/dd) 2011/03/25

DEAN IORFIDA, a Commissioner, etc.,
 City Clerk, City of Niagara Falls
 Regional Municipality of Niagara
 Province of Ontario


 Signature of Candidate

WAYNE GATES CAMPAIGN

PART II - List of Contributions from Each Single Contributor Totalling More than \$100

TABLE 1: Monetary contributions from individuals other than candidate or spouse

Name	Address			Amount
Larry Greenhalgh	c/o CAW Local 199, 124 Bunting Road	St. Catharines, ON	L2P 3G5	500.00
Jerry Gilligan	4592 Peach Avenue	Niagara Falls, ON	L2E 5L6	100.00
Eddie Ste. Marie	c/o CLC, 15 Gervais Drive	Don Mills, ON	M3C 1Y8	150.00
John Aman	CAW National Office, 205 Placer Court	North York, ON	M2H 3H9	200.00
Gary Bradnam	127 Mary Street, PO Box 400	Niagara on the Lake, ON	L0S 1J0	350.00
James Stanford	241 MacDonald Avenue	Toronto, ON	M6R 2A9	100.00
Peter & Rosa DeLuca	7069 Dorchester Road	Niagara Falls, ON	L2G 5V5	100.00
Ralph Biamonte	7600 Lundy's Lane	Niagara Falls, ON	L2H 1H1	200.00
Bette Anne Chevalier	2803 Mercury Avenue	Niagara Falls, ON	L2J 3X8	100.00
Michael Michaud	6 Burdy Drive	St. Catharines, ON	L2S 2Z2	250.00
Lorie Peacock	22-122 Bunting Road	St. Catharines, ON	L2P3X7	400.00
Paul Peacock	c/o Central Credit Union, 2810 Matheson Blvd E.	Mississauga, ON	L4W 4X7	400.00
J. Cecil Makowski	7155 Adams Avenue	Niagara Falls, ON	L2G 5G9	250.00
Archie Katzman	c/o St. Catharines Club, 77 Ontario Street	St. Catharines, ON	L2R 5J5	100.00
Mike Menicanin	37 Rita Street	Welland, ON	L3C 3R3	300.00
Robert A. VanCleeef	13320 Lundy's Lane	Allanburg, ON	L0S 1A0	100.00
Mathew Johnson	One Queen Street E, Suite 2200	Toronto, ON	M5C 2Z2	500.00
Santo Paola	6680 Hawkins Street	Niagara Falls, ON	L2G 3B7	100.00
Vince & Dianne DeLuca	127 Westgate Park Drive	St. Catharines, ON	L2N 5X5	200.00
James Porter	98-122 Bunting Road	St. Catharines, ON	L2P 3X7	100.00
Bruce Allen	36 Sunnylea Drive	St. Catharines, ON	L2M 5Z8	100.00
Jerry Dias	7295 Bell School Line	Milton, ON	L9T 2Y1	100.00
Doug Orr	9 Laguna Crescent	St. Catharines, ON	L2M 6Z9	200.00
Joseph Mulhall	2751 Olden Avenue	Niagara Falls, ON	L2J 4A2	100.00
Wayne Redekop	1553 Frenchman's Lane, RR #1	Fort Erie, ON	L2A 5M4	100.00
Larry Salvage	1 Beeches Street	St. Catharines, ON	L2R 5S4	100.00
Byron Harding	5179 Valleyview Crescent	Niagara Falls, ON	L2E 7E5	100.00
Peter Kennedy	574 Emery Street East	London, ON	N6C 2E9	250.00
Heather & Jeff Davidson	1035 Pelham Road	St. Catharines, ON	L2R 6P7	500.00
Sam Morabito	74 Breckenridge Boulevard	St. Catharines, ON	L2W 1C4	750.00
Tony Leah	c/o CAW National, 205 Placer Court	North York, ON	M2H 1H9	200.00
Linda Johnston	42-56 Roehampton Avenue	St. Catharines, ON	L2M 7S7	400.00

Table 2: Monetary contributions from unions or corporations

Name (Legal and Carrying on Business As)	Address	President or Business Manager	Cheque Signatory	Amount
	Total per Attached List			\$ 12,900.00
				\$
				\$
				\$
				\$
				\$
				\$
				\$
<input checked="" type="checkbox"/> Additional information is listed on separate supplementary attachment				Total \$

Table 3: Contributions in goods or services (Note: must also be reported as expenses in Box C)

Name	Address	Goods or Services	Amount
	Total per Attached List		\$ 1,800.00
			\$
			\$
			\$
			\$
			\$
			\$
			\$
<input checked="" type="checkbox"/> Additional information is listed on separate supplementary attachment			Total \$

Total Part II Contributions

\$ 22,100.00

WAYNE GATES CAMPAIGN

PART II - List of Contributions from Each Single Contributor Totalling More than \$100

Table 2: Monetary Contributions from unions or corporations

Name (Legal and carrying on business as)	Address	President or Business Manager	Cheque Signatory	Amount
CAW Local 199	124 Bunting Road St. Catharines, ON L2P 3G5	Bruce Allen	Larry Greenhalgh	750.00
1218776 Ontario Limited o/a Ramada Parkway Inn	327 Ontario Street St. Catharines, ON L2R 5L3	<i>See copy of cheque attached</i>		200.00
Archon Architect Inc.	1645 Wyandotte Street E. Suite 300 Windsor, ON N8Y 1C8	Robert Di Maio		750.00
Ontario Public Service Employees Union Local 217	c/o PO Box 150, 7400 Portage Road S. Niagara Falls, ON L2E 6T2	Bill Rudd	Bernie Villemieux	300.00
Klien Developments Ltd.	6279 Huggin Street Niagara Falls, ON L2J 1H2		Ingrid Balinski	100.00
CAW Local 444	1855 Turner Road Windsor, ON N8W 3K2	Rick Laporte	Dave Cassidy	750.00
Retirees Chapter CAW Local 199	124 Bunting Road St. Catharines, ON L2P 3G5	Barb Abrams	Nick Collini	500.00
A. Lococo Wholesale Ltd.	4167 Victoria Avenue, Box 575 Niagara Falls, ON L2E 6V2	<i>See copy of cheque attached</i>		300.00
CAW Local 200	1855 Turner Road Windsor, ON N8W 3K2	Dan Cassady	Dave Crosswell	500.00
Pinewood Homes (Tara) Ltd.	2325 Fruitbelt Parkway Niagara Falls, ON L2E 6S4	Tara Colaneri		200.00
Local 555	45 Frid Street, Unit 11 Hamilton, ON L8P 4M3	Matt Root	Kim Sardella	250.00
CAW Local 1106	600 Wabanaki Drive Kitchener, ON N2C 2K4	Bill McLachlan	Shawn Rouse	300.00
CUPE 151 City of Thorold	c/o PO Box 1044, 3540 Schmon Pkwy Thorold, ON L2V 4A7	Mike Williamson	Dawn Dobbie	100.00
CAW Local 1101	x/o 6668 Dunn Street Niagara Falls, ON L2G 2R1	Claude Sonier	Justin Davies	200.00
Canadian Niagara Hotels Inc.	PO Box 1012 Niagara Falls, ON L2E 6W7	<i>See copy of cheque attached</i>		500.00
CAW Local 1524	600 Wabanaki Drive Kitchener, ON N2C 2K4	Tim Mitchell	Robert Pyne	250.00
IBEW Local 303 Int'l Brotherhood of Electrical Workers	PO Box 68, 1368 Upper Lane, Hwy 58 Thorold, ON L2V 3Y7	Matthew Wayland	Tony Fluri	750.00
MSANF Inc.	112 Carleton Street North Thorold, ON L2V 2B2	<i>See copy of cheque attached</i>		300.00
Civic Construction Ent.	17-7000 McLeod Road, Suite 251 Niagara Falls, ON L2G 7K3	Philippe Pepin		500.00
Cajen Investment Ltd. c/o Verna Craig	6479 Russel Street Niagara Falls, ON L1J 1P6	Verna Craig		100.00
Marconi Consulting Group Inc. Dev. Specialists	4700 St. Clair Avenue Niagara Falls, ON L2E 3S8	J. Marconi		400.00
CAW Local 27	606 First Street London, ON N5V 2A2	Tim Carrie	Jim Wilkes	100.00
Masterson Realty Ltd	4410 Beechwood Road Niagara Falls, ON L2E 6S4	Terry Masterson		250.00
CAW Local 504	307 Queenston Road Hamilton, ON L8K 1H3	Randy Smith	Russ Packer	750.00
Ontario Secondary School Teachers Federation 22 Niagara	1877 Merrittville Hwy, RR #1 Fonthill, ON L0S 1E6	Daniel Peat	Steve Ferguson	750.00

WAYNE GATES CAMPAIGN

PART II - List of Contributions from Each Single Contributor Totalling More than \$100

Table 2: Monetary Contributions from unions or corporations (continued)

Name (Legal and carrying on business as)	Address	President or Business Manager	Cheque Signatory	Amount
Millwright & Machine Erectors Local Union 1007	8357 Lundy's Lane Niagara Falls, ON L2H 1H5	Steve Crocker	Bill Hicks	250.00
1720751 Ontario Limited o/a Clancy's Too	11-5233 Stanley Avenue Niagara Falls, ON L2E 7C2	Bryon Harding		150.00
United Steel Workers of America Niagara Peninsula Area Council	833 Dominion Road Fort Erie, ON L2A 1H2	Jim Pasel	Paul Tisi	100.00
CAW National Union	205 Placer Court North York, ON M2H 3H9	Peter Kennedy		500.00
CAW Local 676	205 Placer Court North York, ON M2H 3H9	Tim Lambert	Peter Pennedy	750.00
CUPE Ontario Division	305 Milner Avenue, Suite 801 Scarborough, ON M1B 3V4	Fred Hahn	Candace Rennick	300.00
Image Promotions Inc.	2089 Kilbridge Street, PO Box 678 Kilbridge, ON L0P 1G0	<i>See copy of cheque attached</i>		250.00
1806101 Ontario Limited o/a Grand Central Sports Restaur	4573 Queen Street Niagara Falls, ON L2E 2L7	<i>See copy of cheque attached</i>		250.00
Thomas Cartage Limited	774 Rennie Street Hamilton, ON L8H 3R2	Greg Moratta		500.00

Table 3: Contributions in goods or services (Note: must also be reported as expenses in Box C)

Name	Address	Goods or Services	Amount
David Savage	7340 Fern Street Niagara Falls, ON L2G 5H2	600 wooden stakes (for signage)	1,800.00

Schedule 2 – Fund-Raising Function

Additional schedule for each event or activity held is/are listed on separate supplementary attachment(s)

Date			Description of event or activity
YYYY	MM	DD	

Admission charge (per person)* (may not exceed individual contribution limit) - - - - - \$ 0.00 **2A**

*If admission charge per person is not consistent, attach complete breakdown of all ticket sales.

Number of tickets sold - - - - - 0 **2B**

Part I – Ticket Revenue

Lines: (2A) x (2B) (include in Schedule 1) - - - - - = \$ 0.00

Part II – Other Revenue Deemed A Contribution

Provide full details (e.g., revenue from goods sold in excess of fair market value)

1.									\$	0.00
2.									\$	0.00
3.									\$	0.00
4.									\$	0.00
5.									\$	0.00
6.									\$	0.00
7.									\$	0.00
8.									\$	0.00

Total Part II Revenue (include in Schedule 1) - - - - - = \$

Part III – Other Revenue Not Deemed A Contribution

Provide full details (e.g., contributions of \$10 or less; revenue from refreshment sold at cost)

1.									\$	0.00
2.									\$	0.00
3.									\$	0.00
4.									\$	0.00
5.									\$	0.00
6.									\$	0.00
7.									\$	0.00
8.									\$	0.00

Total Part III Revenue (include in Box C) - - - - - = \$

Part IV – Expenses Related to Fund-Raising Function

Venue - - - - - \$ 0.00

Event advertising - - - - - \$ 0.00

Food and drink - - - - - \$ 0.00

Entertainment - - - - - \$ 0.00

Other (provide full details)

1.									\$	0.00
2.									\$	0.00
3.									\$	0.00
4.									\$	0.00
5.									\$	0.00
6.									\$	0.00
7.									\$	0.00
8.									\$	0.00

Total Part IV Expenses (include in Box C) - - - - - = \$

**Schedule 3 – Inventory of Campaign Goods and Materials (from Previous Campaign)
Used in Candidate’s Campaign**

Description	Date Acquired (yyyy/mm/dd)	Supplier	Unit Value	Quantity	Total Value
Large Signs			\$ 12.00	75	\$ 900.00
Small signs			\$ 4.00	228	\$ 912.00
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
Total Value of Inventory from Previous Campaign Used in Candidate’s Campaign					\$ 1,812.00

Schedule 4 – Inventory of Campaign Goods and Materials at The End of Campaign

Description	Date Acquired (yyyy/mm/dd)	Supplier	Unit Value	Quantity	Total Value
Large signs	2010/09/10	Mars Unlimited	\$ 10.50	278	\$ 2,919.00
Small signs	2010/09/10	Mars Unlimited	\$ 3.50	891	\$ 3,118.50
Wires	2010/09/10	Mars Unlimited	\$ 1.00	848	\$ 848.00
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
Total Value of Inventory of Campaign Goods and Materials					\$ 6,885.50

Auditor's Report**Municipal Elections Act, 1996 (Section 78)**

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report. The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

Professional Designation of Auditor

CHARTERED ACCOUNTANT

Municipality ST. CATHARINES		Date (yyyy/mm/dd) 2011/03/24	
Contact Person Last Name Di Paola		First Name Tony	Licence No. 1-16665
Address Suite/Unit No. 207	Street No. 39	Street Name Queen Street	
City/Town St. Catharines		Province ON	Postal Code L2R 5G6
Telephone No. (incl. area code) (905) 680-8669 ext. 8		Fax No. (905) 680-8346	Email Address tony@tonydipaola.com

TONY DiPAOLA PROFESSIONAL CORPORATION

Public Accounting Practice

39 Queen Street, Suite 207, St. Catharines, Ontario, Canada, L2R 5G6

Telephone (905) 680-8669[TONY]

Fax (905) 680-8346

Auditor's Report

We have audited the Statement of Assets and Liabilities, the Statement of Campaign Period Income and Expenses, and the Statement of Determination of Surplus or Deficit and Disposition of Surplus of Wayne Gates, candidate, for the campaign period from August 6, 2010 to December 31, 2010 relating to the election held on October 25, 2010.

These financial statements are the responsibility of Wayne Gates, candidate.

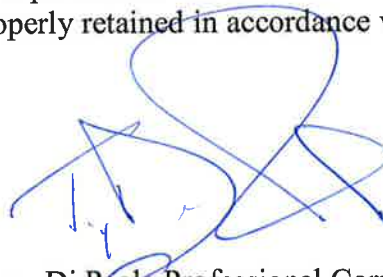
Our responsibility is to express an opinion on these financial statements based on our audit.

Except as explained in the following paragraph, we conducted our audit in accordance with generally accepted auditing standards. Those standards require that we plan and perform an audit to obtain reasonable assurance whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by Wayne Gates, candidate, as well as evaluating the overall financial statement presentation.

Due to the nature of the types of transactions inherent in an election campaign, it is impracticable through auditing procedures to determine that the accounting records include all donations of goods and services, and receipts and disbursements. Accordingly, our verification of these transactions was limited to ensuring that the financial statements reflect the amounts recorded in the accounting records of Wayne Gates, candidate, in accordance with the accounting procedures established by the Municipal Elections Act, 1996 and we were not able to determine whether any adjustments might be necessary to income and expenses, assets or liabilities, and surplus/deficit.

In our opinion, except for the effect of adjustments, if any, which we might have determined to be necessary had we been able to satisfy ourselves as to the completeness of the records as described in the preceding paragraph, these financial statements present fairly, in all material respects, the financial position of the candidate's election campaign as at December 31, 2010 and the income and expenses for the campaign period from August 6, 2010 to December 31, 2010 and the determination of surplus or deficit and the disposition of the surplus in accordance with the accounting treatment prescribed by the Municipal Elections Act, 1996. The Municipal Elections Act, 1996 does not require us to report, nor was it practicable for us to determine, the contributions reported include only those which may be properly retained in accordance with the provisions of the Municipality Elections Act, 1996.

March 25, 2011



Tony Di Paola Professional Corporation
Authorized to practice public accounting
by the Institute of Chartered Accountants of Ontario
Licence No. 1-16665